

MEMBER SUITABILITY ASSESSMENT

Investor Category: <input type="checkbox"/> Individual Investor <input type="checkbox"/> High Net Worth Individual <input type="checkbox"/> Accredited Investor	Application Type: <input type="checkbox"/> Kenanga OnePRS (Form Part 3) <input type="checkbox"/> OnePRS Employee Worksite (Form Part 4) <input type="checkbox"/> Non-KIB PRS Funds (Form Part 5)	PRSC Code :
	<input type="checkbox"/> Kenanga Staff <input type="checkbox"/> Kenanga Adviser	PRSC Name :
		BDM Name :

PART 1 - MEMBER SUITABILITY ASSESSMENT (To be completed by individual contributors who opted for Self-Selection Option only)

This Investor Suitability Assessment Form will guide you in choosing the PRS fund(s) that best suits your investment objectives, risk tolerance, financial profile and investment experience. The information that you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that a suitable PRS fund(s) is/are recommended according to your investment needs and objectives.

1.1 PERSONAL DETAILS

Name of Applicant : (As per NRIC/Passport/Other ID)	Review Date :
NRIC/Passport Other ID No. :	Nationality :

1.2 RISK PROFILE

<p>1. My age is</p> <p>(a) < 45 years old <input type="checkbox"/> 5 points</p> <p>(b) 45 - < 55 years old <input type="checkbox"/> 3 points</p> <p>(c) 55 years old and above <input type="checkbox"/> 1 point</p> <p>2. My annual liability is</p> <p>(a) ≤RM30K <input type="checkbox"/> 5 points</p> <p>(b) RM30,001-RM60K <input type="checkbox"/> 4 points</p> <p>(c) RM60,001-RM120K <input type="checkbox"/> 3 points</p> <p>(d) RM120,001-RM240K <input type="checkbox"/> 2 points</p> <p>(e) >RM240K <input type="checkbox"/> 1 point</p> <p>3. I am an investor who is</p> <p>(a) Aggressive. Able to tolerate high risk. <input type="checkbox"/> 5 points</p> <p>(b) Moderate. Able to tolerate some risk. <input type="checkbox"/> 3 points</p> <p>(c) Conservative. I am a risk averse investor. <input type="checkbox"/> 1 point</p>	<p>4. I have invested (please choose one only)</p> <p>(a) Growth stocks / funds <input type="checkbox"/> 5 points</p> <p>(b) Dividend stocks / funds <input type="checkbox"/> 4 points</p> <p>(c) Bond funds <input type="checkbox"/> 3 points</p> <p>(d) Money market funds <input type="checkbox"/> 2 points</p> <p>(e) I do not invest. I am new to investment. <input type="checkbox"/> 1 point</p> <p>5. My investment objective is to achieve</p> <p>(a) Capital growth <input type="checkbox"/> 5 points</p> <p>(b) Capital growth and income <input type="checkbox"/> 3 points</p> <p>(c) Income <input type="checkbox"/> 1 point</p> <p>6. My annual income is</p> <p>(a) >RM300K <input type="checkbox"/> 5 points</p> <p>(b) RM200,001-RM300K <input type="checkbox"/> 4 points</p> <p>(c) RM100,001-RM200K <input type="checkbox"/> 3 points</p> <p>(d) RM50,001-RM100K <input type="checkbox"/> 2 points</p> <p>(e) ≤RM50K <input type="checkbox"/> 1 point</p>	
Total Points		

1.3 RISK SCORING

Conservative	Moderate	Aggressive
6 - 14	15 - 21	22 - 30

1.4 RECOMMENDATION

No	PRS Funds	Basis of recommendation	PRS Consultant's Name :
1			PRS Consultant's Signature:
2			
3			Date :
4			
5			

1.5 DECLARATION

- I agree to provide all the information requested in this form.
- I decline to complete this form and I further confirm that any transaction I have decided to make is based on my own judgement.
- I confirm that the information provided herein is accurate, correct and true.
- I acknowledge that I have received the Product Highlights Sheet and / or Disclosure Document.
- I acknowledge that my Consultant has explained the above mentioned PRS fund(s) to me and I am fully aware of the PRS scheme features, direct and in-direct fees and charges as well as applicable investment risks.
- I understand my risk profile and the above recommendation. I agree with the recommendation. I choose to purchase a fund not recommended by my PRS Consultant.

Applicant's Signature

Date

(Note: Pre-signed and/or pre-thumb printed forms are not allowed by Kenanga Investors Berhad and regulators for the protection of our investors.)

**WARNING : THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT.
INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCT.**